



SILVERBROOK RESEARCH Pty Ltd

393 Darling Street Balmain NSW 2041 Australia
PO Box 207 Balmain NSW 2041 Australia
Phone: +61 2 9818 6633 Fax: + 61 2 9818 6711
Email: info@silverbrook.com.au
ACN 066 573 671

GP/2675
#4
LTY80m
032201

February 28, 2001

United States Patent and Trademark Office
WASHINGTON DC 20231
USA

Attention: Office of Initial Patent Examination
Customer Service Center

Dear Sirs,

United States Patent Application Serial Number 09/575,118

Inventors: Paul Lapstun and Kia Silverbrook

Assignee: SILVERBROOK RESEARCH PTY LTD

Title of Invention: METHOD AND SYSTEM FOR NAVIGATING A DIRECTORY

Docket No. NPA012US

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We respectfully request for a Filing Receipt for the above-identified case because as of this writing, we have not yet received a Filing Receipt.

We enclose a copy of the Utility Patent Application Transmittal form that was filed and a copy of the first page of the Recordation Form Cover Sheet from which we noted that application number USSN 09/575,118 was allocated.

Very respectfully,

KIA SILVERBROOK
Silverbrook Research Pty Ltd
393 Darling Street
Balmain NSW 2041, Australia

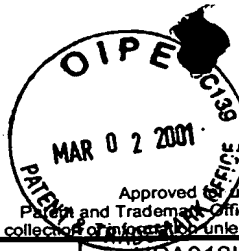
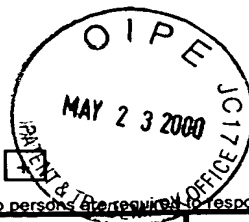
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Facsimile: +61 2 9818 6711

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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. NPA012US

First Inventor or Application Identifier Paul Lapstun

Title METHOD AND SYSTEM FOR NAVIGATING A

DIRECTORY

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 81]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 44]
4. Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO:

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 Box Patent Application
 Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of
(when there is an assignee). ☐ Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 ☐ Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ * Small Entity ☐ Statement filed in prior application
Statement(s) ☐ Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____/_____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

| | | | | | |
|---------|------------------------------|-----------|----------------|----------|----------------|
| Name | Kia Silverbrook | | | | |
| | Silverbrook Research Pty Ltd | | | | |
| Address | 393 Darling Street | | | | |
| City | Balmain | State | NSW | Zip Code | 2041 |
| Country | AUSTRALIA | Telephone | 61-2-9818-6633 | Fax | 61-2-9818-6711 |

| | | | |
|-------------------|--------------------|-----------------------------------|--------------|
| Name (Print/Type) | Kia Silverbrook | Registration No. (Attorney/Agent) | |
| Signature | <i>[Signature]</i> | Date | May 16, 2000 |

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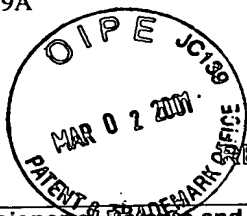
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**RECORDATION FORM COVER SHEET
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Docket No.: NPA012US

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
09575118
- ☐ Merger ☐ Other
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(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

PTO
011528/60
S.N. 1155-1
05/23/00

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) LAPSTUN, Paul

Execution Date
Month Day Year
05 16 2000

Name (line 2)

Second Party

Name (line 1) SILVERBROOK, Kia

Execution Date
Month Day Year
05 16 2000

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Silverbrook Research Pty. Ltd.

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

Name (line 2)

Address (line 1) 393 Darling Street

Address (line 2)

Address (line 3) Balmain

City

NSW, Australia
State/Country

2041
Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

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Address (line 2)

Address (line 3)

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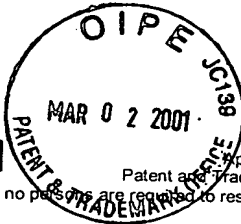
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|--|------------------------|-----------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/575,118 |
| | Filing Date | May 23, 2000 |
| | First Named Inventor | KIA SILVERBROOK |
| | Group Art Unit | |
| | Examiner Name | |
| Total Number of Pages in This Submission | Attorney Docket Number | NPA012US |

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| ENCLOSURES (check all that apply) | | |
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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | International Search Report of the corresponding International Patent Application No. PCT/AU00/0027 |
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| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | |
|--|---|---|
| Firm or Individual name | Kia Silverbrook c/o Silverbrook Research Pty. Ltd. | 393 Darling Street Balmain NSW 2041 Australia |
| Signature | | |
| Date | February 28, 2001 | |

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